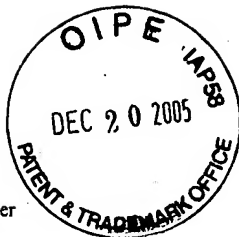


32692

Customer Number



COPY

DATE(S) _____
ATTORNEY TLR
DOCKETED mePatent
Case No.: 51737US019

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: STEFELY, JAMES S.
Application No.: 10/078805 Group Art Unit: 1617
Filed: February 18, 2002 Examiner: Edward J. Webman
Title: BIOCOMPATIBLE COMPOUNDS FOR SUSTAINED RELEASE
PHARMACEUTICAL DRUG DELIVERY SYSTEMS

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

September 30, 2004
Date

Virginia Bergstrom
Signed by: Virginia Bergstrom

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449 citing references submitted for consideration by the Examiner. A copy of each cited reference is also enclosed. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

Under 37 CFR § 1.97(e)(1), I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this Information Disclosure Statement.

Under 37 CFR § 1.704(d), I hereby state that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 CFR § 1.56(c) more than thirty (30) days prior to the filing of this Information Disclosure Statement.

COPY

It is believed that no fee is due; however, in the event a fee is required, please charge the fee to Deposit Account No. 13-3723.

Respectfully submitted,

Sept. 23, 2004
Date

By: Ted Ringsred
Ted K. Ringsred, Reg. No.: 35,658
Telephone No.: (651) 736-5839

Office of Intellectual Property Counsel
3M Innovative Properties Company
Facsimile No.: 651-736-3833

COPY

Substitute for form 1449A/PTO (m... d)

Application Number

10/078805

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Filing Date

February 18, 2002

First Named Inventor

Stefely, James S.

Art Unit

1617

Examiner Name

Edward J. Webman

Attorney Case Number

51737US019

Use as many sheets as necessary)

Page 1 of 1

U.S. Patent Documents

Exam. Init.*	Cite No.	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
	A1	US-			
	A2	US-			
	A3	US-			
	A4	US-			
	A5	US-			
	A6	US-			
	A7	US-			

Foreign Patent Documents

Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation (Check if yes)
		Ctry. Code	Number-KindCode (if known)				
	B1	WIPO	WO 88/09185	12-01-1988			
	B2	WIPO	WO 94/21228	09-29-1994			
	B3	WIPO	WO 94/21229	09-29-1994			
	B4						
	B5						
	B6						
	B7						

OTHER DOCUMENTS

Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)
	C1		
	C2		
	C3		

*Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Copy

Form 10

Applicat

File Nur

Titled

First Named Inventor: STEFELY, JAMES S.

Case No.: 51737US019

Application No.: 10/078805

Title: BIOCOMPATIBLE COMPOUNDS FOR SUSTAINED RELEASE
PHARMACEUTICAL DRUG DELIVERY SYSTEMS

Enclosures:

Information Disclosure Statement, Form PTO-1449, and
cited references.

Pages

Sheets

Draft In

Attorney

Amount charged to Deposit Account: \$0.00

Attorney (initials): TKR/vlb

Date: September 30^{VLB}, 2004

